

Legal Framework for the Application, Examination, Grant, Cancellation and Appeals in relation to Patents in Thailand

JITTIMA SRITHAPORN Director of Legal Office Department of Intellectual Property



# 7 Intellectual Property Laws



#### Thailand's IP laws

- Patent Act B.E. 2522 (1979)
   amended (No. 2) B.E. 2535 (1992), (No.3) B.E. 2542 (1999)
- Trademark Act B.E. 2534 (1991)
   amended (No. 2) B.E. 2543 (2000), (No. 3) B.E. 2559 (2016)
- Copyright Act B.E. 2537 (1994)
   amended (No. 2, 3) B.E. 2558 (2015), (No.4) B.E. 2561 (2018)
- Protection of Layout-Designs of Integrated Circuits Act B.E. 2543 (2000)
- Trade Secret Act B.E. 2545 (2002)
   amended (No. 2) B.E. 2558 (2015)
- Geographical Indication Protection Act B.E. 2546 (2003)
- Optical Disc Production Act B.E. 2548 (2005)

Status

amending

amending

Will be revoked

#### **International Treaties**



#### **WIPO**

Berne Convention : Since July 1931

WIPO Convention : Since December 1989

Paris Convention : Since May 2008

Patent Cooperation Treaty : Since September 2009

(Member No. 142<sup>nd</sup>, DIP PCT Office was opened on December 2009)

Madrid Protocol: Since August 2017

(Member No. 99<sup>th</sup>, DIP Madrid Office was opened on November 2017)

Marrakesh Treaty

(Member No. 51st Since April 2019)

#### WTO

TRIPS Agreement: Since January 1995

#### **Next Step**

WIPO Copyright Treaty (WCT)

WIPO Performances and Phonograms Treaty (WPPT)

Hague Agreement



# **Overview of The Patent Act**

# **Development of the Patent Act**





#### The Patent Act B.E. 2522 (1979)

- 1. Patent Act (No. 2) B.E. 2535 (1992)
- Enforced from 30 SEP 2535 (1992)
- To comply with WTO obligations
- 2. Patent Act (No.3) B.E.2542 (1999)
- Enforced from 27 SEP 2542 (1999)
- To add the protection of Petty Patent



#### The Draft Patent Act (No..) B.E. ....

- The draft regarding patent / petty patent
- 29 JAN 2019 the Cabinet approved principles of the draft
- <u>Status</u>: Has been sent to the Council of State for consideration
- 2. The draft regarding design patent and the accession to the Hague Agreement
- Status: published the draft on the DIP website and www.lawamendment.go.th for public hearing on 18<sup>th</sup> September 18<sup>th</sup> October 2019.

# The Patent Act B.E. 2522 (1979)



According to the Thai Patent Act B.E. 2522, there are 3 types of Protection

#### 1. Invention Patent

- O Novelty + Inventive step + industrial applicability
- O Duration: 20 yrs

#### 2. Design Patent

- Novelty + industrial applicability
- O Duration: 10 yrs

#### 3. Petty Patent

- Novelty + industrial applicability
- O Duration: 6 yrs initial + 2 yrs + 2 yrs (maximum of 10 yrs)



#### The following inventions are not protected under Patent Act:

- (1) naturally occurring microorganisms and their components, animals, plants or extracts from animals or plants;
- (2) scientific or mathematical rules or theories;
- (3) computer programs;
- (4) methods of diagnosis, treatment or cure of human and animal diseases;
- (5) inventions contrary to public order, morality, health or welfare.

## Patent vs Design Patent



"invention" means any innovation or invention which creates a new product or process, or any improvement of a known product or process

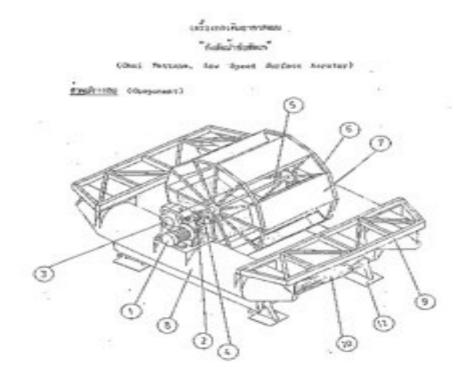


"design" means any form or composition of lines or colors which gives a special appearance to a product and can serve as a pattern for a product of industry or handicraft











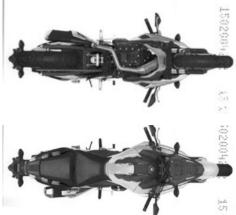
"Chaipattana Aerator"

Patent No.3127, Granted: Feb 1993

# **Example of Design Patent**







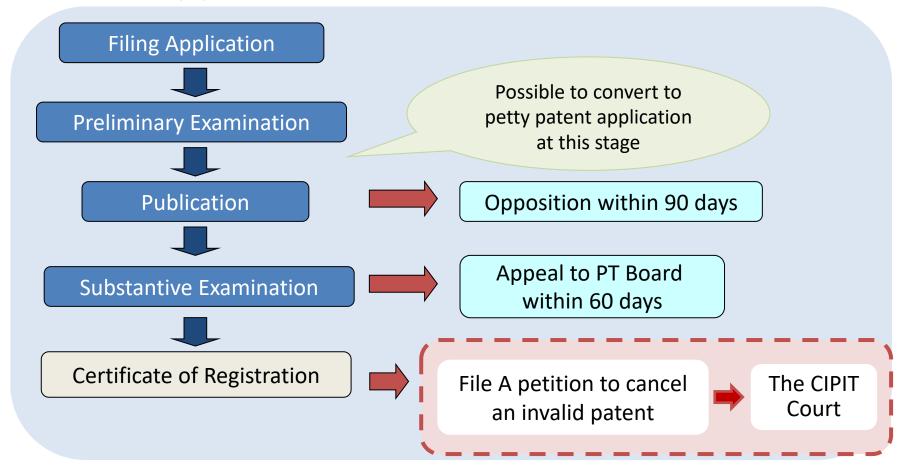


Honda Motor Co., Ltd.
Application No. 1502004252
Registration No. 60306 Issued on 26 Jan 2018

# **Registration Process**

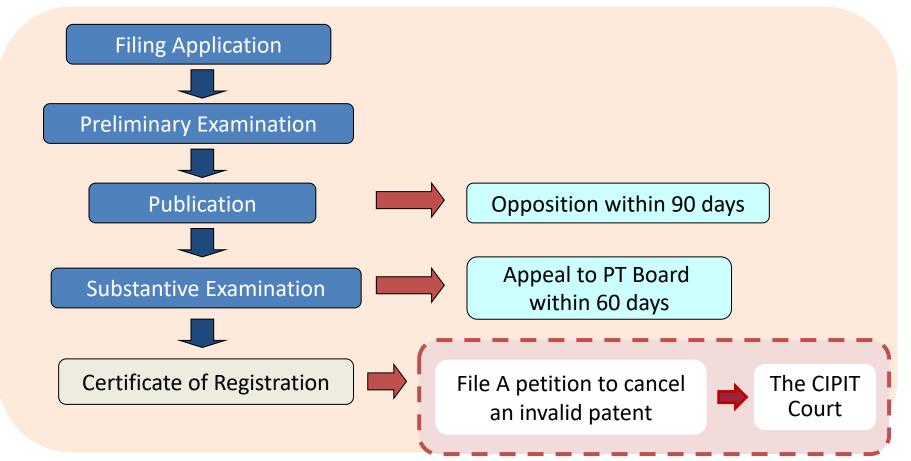
# **Patent Application**





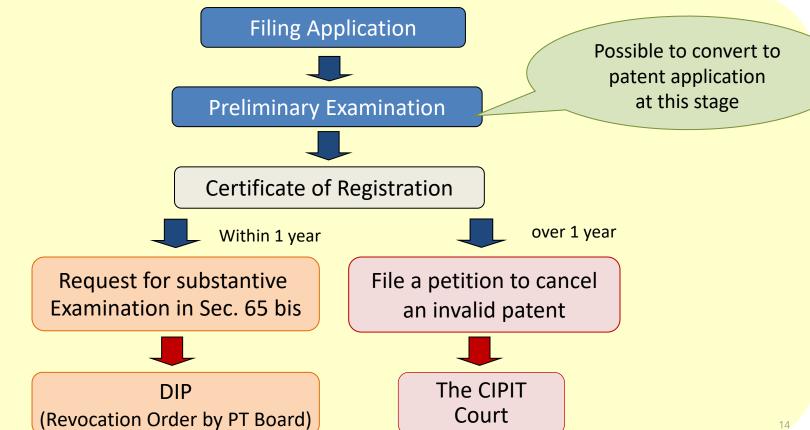
# **Design Patent Application**



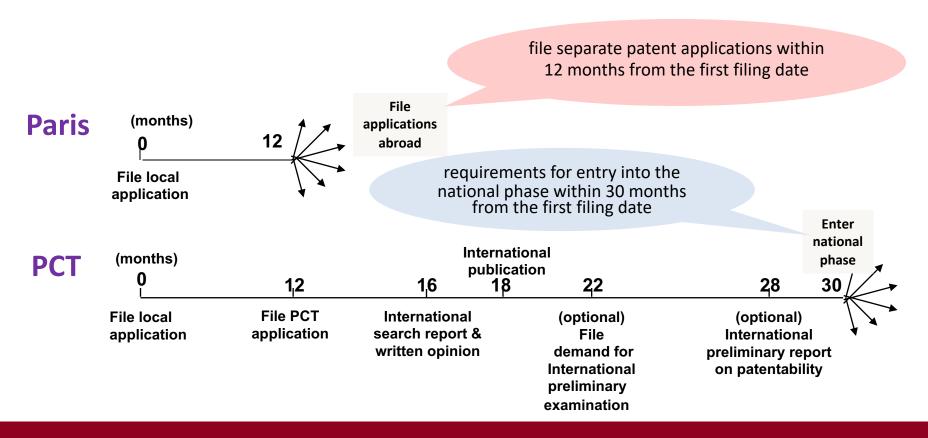


# **Petty Patent Application**





#### **Comparison of Paris and PCT Route**

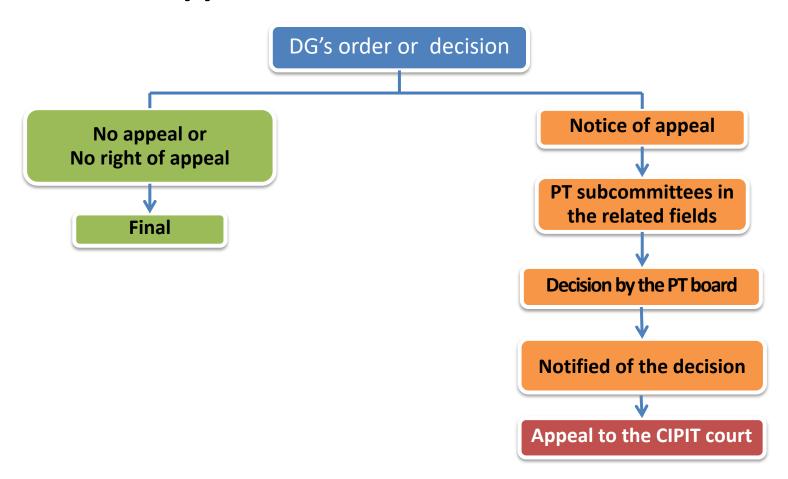




# **The Appeal Process**

#### **The Patent Appeal Process**





#### **BOARD OF PATENTS**



The Board of patents shall be composed of

#### Chairman



Permanent Secretary of the Ministry of Commerce

#### **Qualified Members**

(not more than twelve

at least six members shall be from the private sectors)



science, engineering, industry, industrial design, agriculture, pharmacy, economics and law

Approved by the Cabinet (2-year term)



# **BOARD OF PATENTS**



The Board shall have the following authorities and duties:

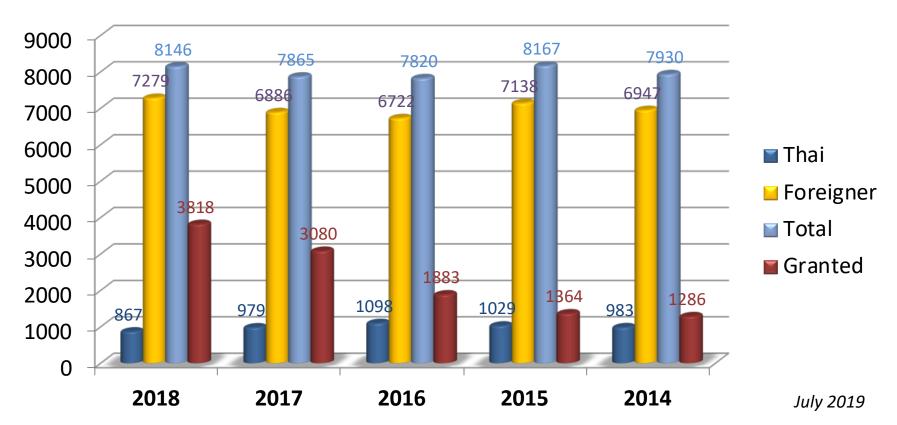
- (1) to give advice or consultation to the Minister in issuing the Royal Decrees and Ministerial Regulations under this Act;
- (2) to decide any appeal made against any order or decision of the Director-General on patents or petty patents;
- (3) to act on other matters as stipulated in this Act;
- (4) to consider any other matter on patents or petty patents as assigned by the Minister.



# **DIP Statistics**

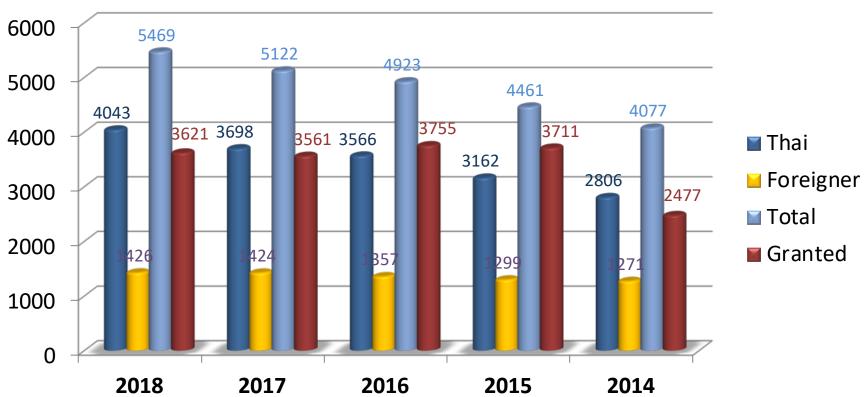
# **Statistics of Patent Application**





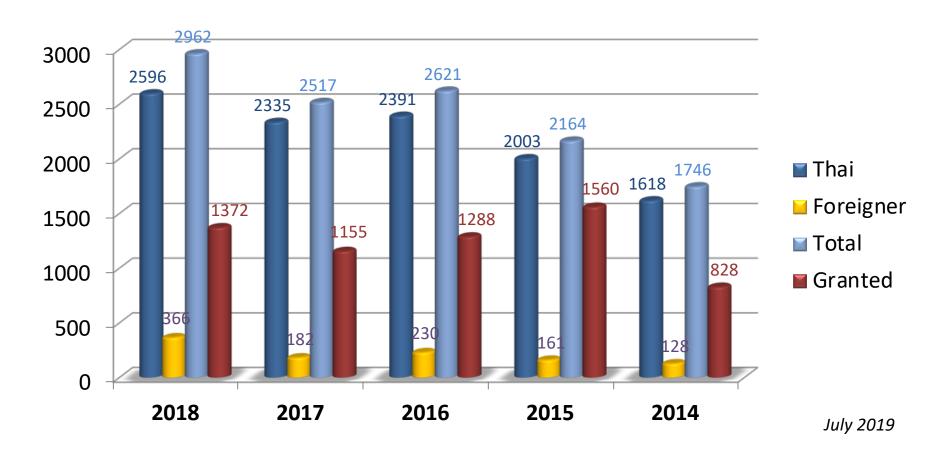
## **Statistics of Design Patent Application**





# **Statistics of Petty Patent Application**

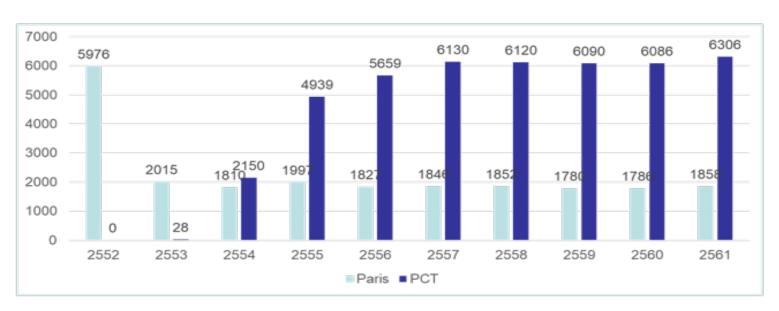




#### PCT National Phase (Inbound) 2009 - 2018



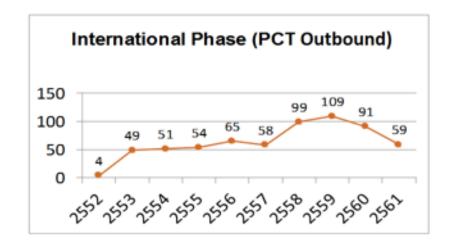
#### Trend of Patent Protection in Thailand

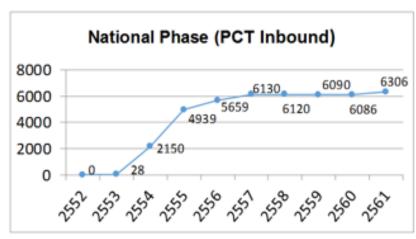


Statistics of Patent Application via Paris and PCT



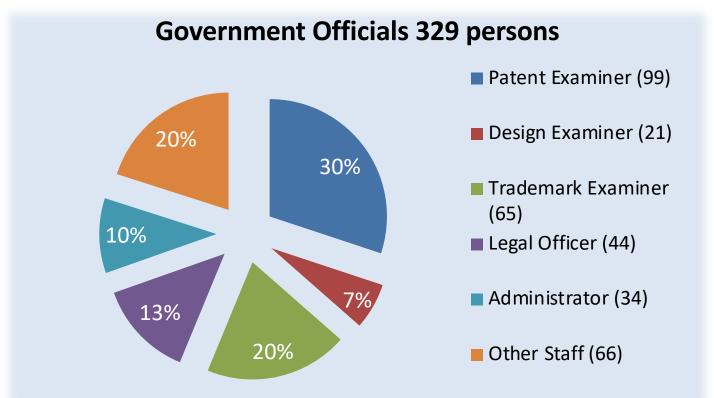






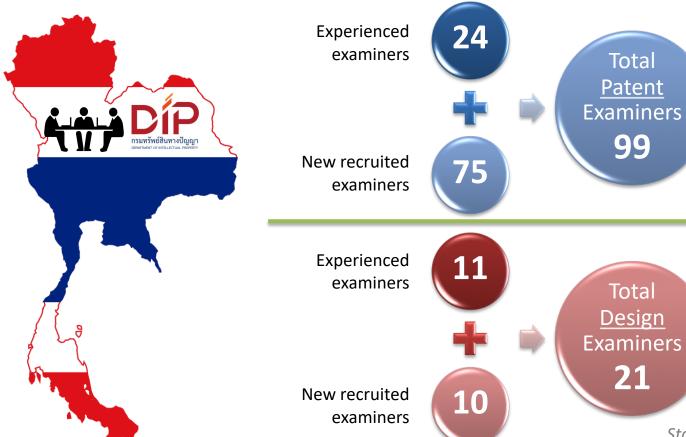
## **DIP Personnel**





# **Number of Patent / Design Examiners**





Status as of Aug 2018



# **Legislative Development**



# The Draft Amendment Act regarding Patent / Petty patent



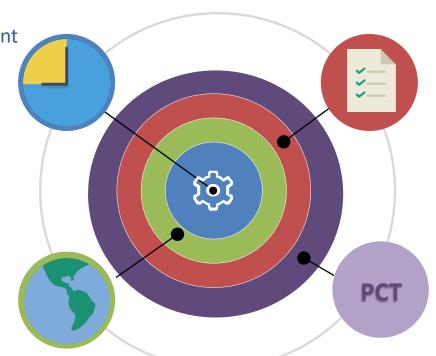
## **Objectives of the Patent Act Amendment**



to streamline registration and administration procedures for patent

and petty patent

to amend the compulsory licensing chapter according to the Article 31 bis of the TRIPS Agreement to allow exportation of pharmaceutical product to countries with no capacity and waive double remuneration if the remuneration is already paid by exporting country

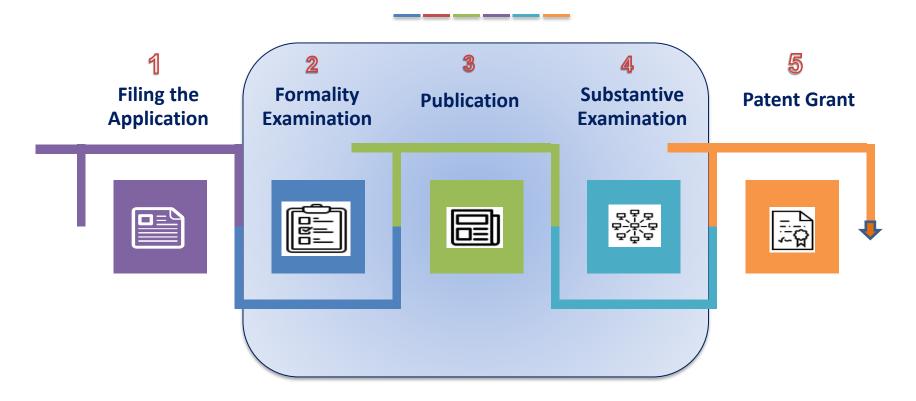


to include international principles of disclosure requirement, prior informed consent, and mutual agreed terms principles related to genetic resources and traditional knowledge

to include PCT Chapter in the Patent Act

# Areas of the Draft Amendment in order to streamline patent registration and administration procedures

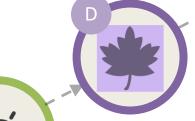




### **Formality Examination**



1<sup>st</sup> Publication <u>at 18 months</u> from filing date or priority date (if any)



Indicate source + submit document relating to PIC/MATS if the invention in an application includes utilization of GRs and/ or TK

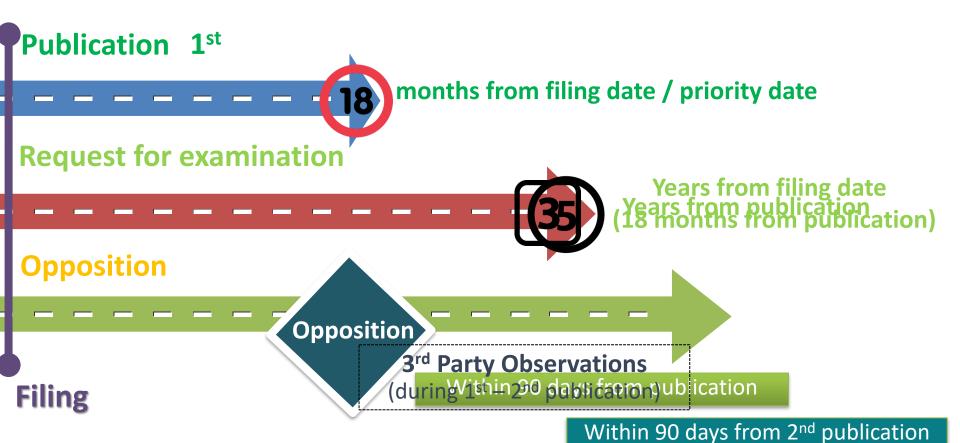
- Minimum documentation & <u>understandable claims and</u> <u>specifications</u> (Art.17)
- Move the examination of Patentable subject Matter (Art.9) to substantive examination

<u>Definitions of Invention (Art.3)</u>

Rights to File (Art. 10,11,14)

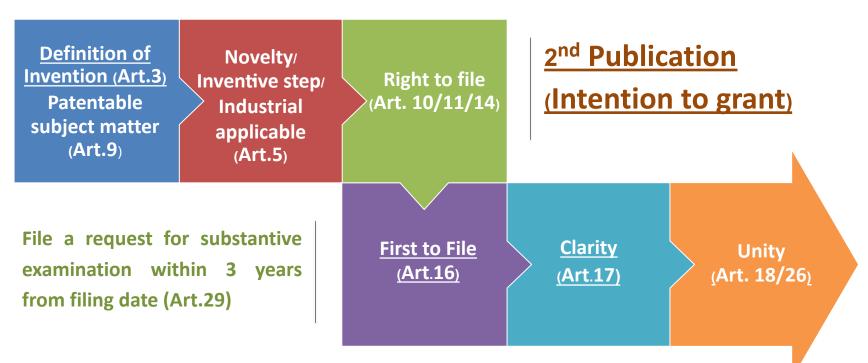
### Shorten pending period in the examination procedure





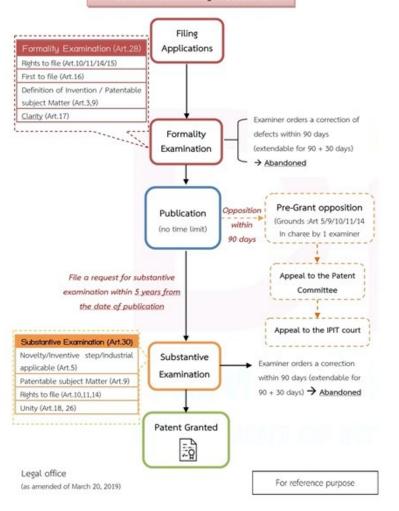
#### **Substantive Examination**





#### **Current Patent Filing Procedures**





#### **Proposed Patent Filing Procedures** Indicate source of GRs/TK Filing + submit PIC and MATs (if any) Applications - Applicant may amend\*/separate the invention, before 2<sup>nd</sup> publication (Art.20) Definition of Invention (Art.3) Formality Examiner orders a correction of defects Minimum documentation & Examination within 90 days (extendable with surcharge) → Abandoned (Art.27) understandable (Art.17) \* Cannot enlarge scope of invention 1" Publication Observation by 3<sup>rd</sup> Party at 18 months from filing date or Allow 3<sup>rd</sup> party to submit priority date (if any) (Art.28/1) evidences regarding prior art before 2<sup>nd</sup> publication (Art. 31) File a request for substantive examination within 3 years from filing date (Art.29) Examiner orders to separate the Substantive Examination invention -> Abandoned (Art.26) Substantive (Art.32/1) - Examiner orders a correction within Definition of Invention / Examination 90 days (extendable with surcharge) Patentable subject Matter → Abandoned (Art.27) (Art.3.9) Novelty/inventive step/ Industrial applicable (Art.5) Pre-Grant Opposition Rights to file ( (Art.10,11,14) 2<sup>nd</sup> Publication Opposition (Grounds: Art.3,5,9,10,11,14,16,17) First to file (Art.16) within In charge by trial of 3 - 5 (Intention to grant) Clarity (Art.17) examiners (Art.32/2 - 32/4) 90 days Unity (Art.18, 26) No opposition within 90 days Appeal to the Patent Committee Patent Granted - 0 Appeal to the IPIT court (Art.32/4) Legal office For reference purpose (as amended of March 20, 2019)



#### Genetic Resources, Traditional Knowledge and Traditional Cultural Expressions

#### **Genetic Resources**

genetic material or derivatives of actual or potential value.

#### **Genetic Material**

any material of plant, animal, microbial or other origin containing functional units of heredity.

#### **Derivatives**

a naturally occurring biochemical compound resulting from the genetic expression or metabolism of biological or genetic resources, even if it does not contain functional units of heredity











#### "Traditional knowledge"

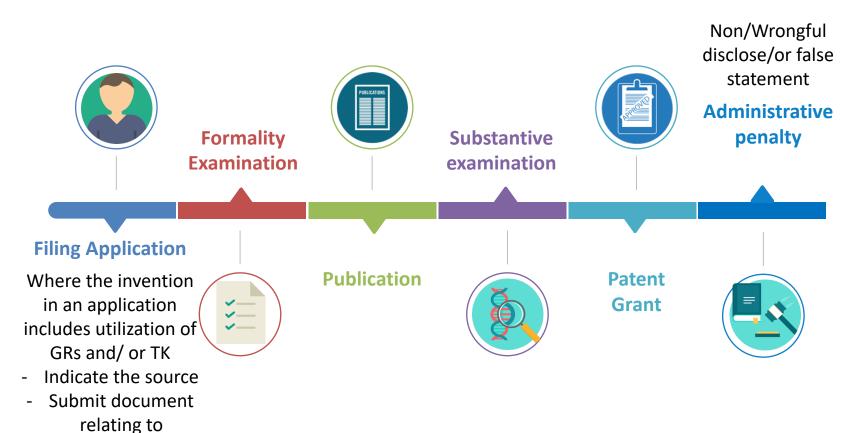
Knowledge, practices, representations, expressions, knowledge, skills – as well as the instruments, objects, artefacts and cultural spaces associated therewith - that communities, groups and, in some cases, individuals recognize as part of their cultural heritage. This intangible cultural heritage, transmitted from generation to generation, is constantly recreated by communities and groups in response to their environment, their interaction with nature and their history, and provides them with a sense of identity and continuity, thus promoting respect for cultural diversity and human creativity

**GENETIC RESOURCE** (GRs)

Traditional Cultural **Expressions (TCEs)** 

#### **Defensive Protection of GRs/TK in the Draft Amendment**





PIC/MATS

#### **Other Important Amendments**



Amend prior art conditions to meet international standard such as including disclosure in documents outside the country

Use recording of the licensing procedure instead of registering with the DIP

Allow correction of the granted patent with minor irregularities

Amend petty patent registration procedure to enhance efficiency

Allow any person to request for substantive examination after the grant of the petty patent

Amend fees schedule; increases filing fees, reduces fees for SMEs / startup / e-filing, discontinues publication fee





# The Draft Amendment Act regarding Design Patent and the accession to the Hague Agreement



#### **Objectives of the Patent Act Amendment**



to streamline registration and administration procedures patent for designs.

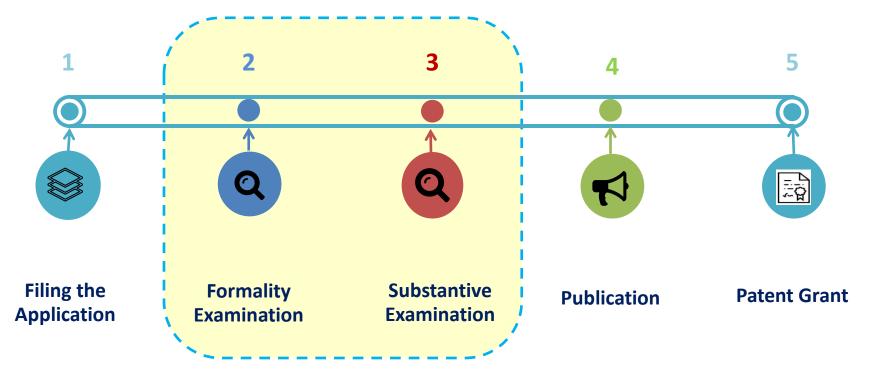


to prepare for the accession of Thailand to the Hague Agreement Concerning the International Registration of Industrial Designs



# Areas of the Draft Amendment in order to streamline Design Patent registration and administration procedures



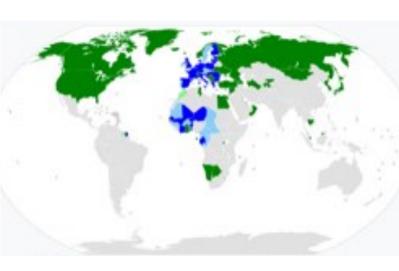


# **Hague Agreement**



#### **Concerning the International Registration of Industrial Designs**

# WIPO | HAGUE



Hague Agreement is the system for international registration of industrial designs, established by WIPO. It offers the possibility of obtaining protection for industrial designs in several Contracting Parties by means of a single international application.

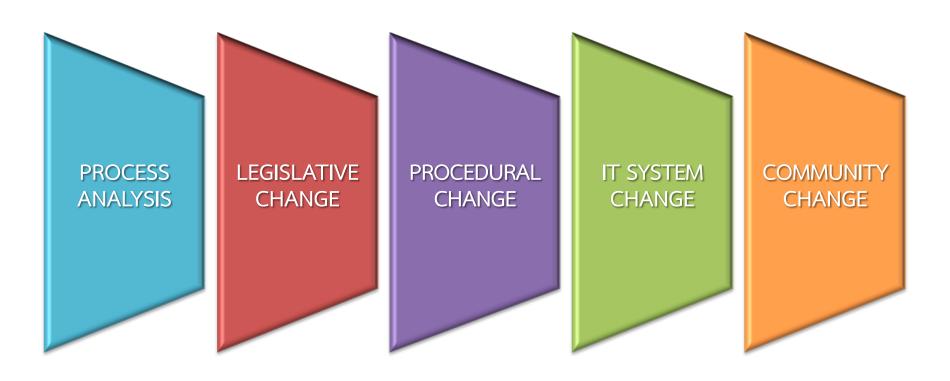
Two Acts of the Hague Agreement are currently in operation

- the Geneva Act, which was adopted on July 2, 1999.
- the Hague Act, which was adopted on November 28, 1960.

There are 70 contracting parties
(Status on October 7, 2019 From http://www.wipo.int/hague/en/)



## **Preparation for Accessing to Hague Agreement**



#### **Other Important Amendments**



Allow Partial Designs protection

Amend prior art conditions to meet international standard such as including a design which was widely known or used outside the country

Allow an applicant to request for separation an application into a number of applications

Extend the period of protection from 10 years to 15 years

Add a provision for deferment of publication

Amend fees schedule such as increases filing fees





# Thank you

#### For more information

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